

# Efficient and Scalable Barrier over Quadrics and Myrinet with a New NIC-Based Collective Message Passing Protocol \*

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## Abstract

*Modern interconnects often have programmable processors in the network interface that can be utilized to offload communication processing from host CPU. In this paper, we explore different schemes to support collective operations at the network interface and propose a new collective protocol. With barrier as an initial case study, we have demonstrated that much of the communication processing can be greatly simplified with this collective protocol. Accordingly, we have designed and implemented efficient and scalable NIC-based barrier operations over two high performance interconnects, Quadrics and Myrinet.*

*Our evaluation shows that, over a Quadrics cluster of 8 nodes with ELan3 Network, the NIC-based barrier operation achieves a barrier latency of only 5.60 $\mu$ s. This result is a 2.48 factor of improvement over the Elanlib tree-based barrier operation. Over a Myrinet cluster of 8 nodes with LANai-XP NIC cards, a barrier latency of 14.20 $\mu$ s over 8 nodes is achieved. This is a 2.64 factor of improvement over the host-based barrier algorithm. Furthermore, an analytical model developed for the proposed scheme indicates that a NIC-based barrier operation on a 1024-node cluster can be performed with only 22.13 $\mu$ s latency over Quadrics and with 38.94 $\mu$ s latency over Myrinet. These results indicate the potential for developing high performance communication subsystems for next generation clusters.*

## 1. Introduction

Barrier is a commonly used collective operation in parallel and distributed programs. Message passing standards, such as MPI [13], often have the barrier operation included as a part of their specifications. In the function MPI\_Barrier(), while processes are performing the barrier

communication and waiting for its completion, no other computation can be performed. So it is important to minimize the amount of time spent on waiting for the barrier. The efficiency of barrier also affects the granularity of a parallel application. To support fine-grained parallel applications, an efficient barrier primitive must be provided. Some modern interconnects, such as QsNet [15] and InfiniBand [5], provide hardware broadcast primitives that can be utilized to support an efficient barrier operation. However, hardware broadcast primitives often have their limitations. For example, Quadrics hardware broadcast requires that all the processes are located on a contiguous set of nodes and also well synchronized during its computation to achieve high performance barrier operations; InfiniBand hardware broadcast is not reliable. Other interconnects, such as Myrinet, do not have hardware broadcast and provide unicast communication along point-to-point links. Thus, a general barrier operation is often implemented on top of point-to-point communication.

Earlier research has been done to use programmable processors to support efficient collective operations [18, 14, 3]. Among them, Buntinas et. al. [3] has explored NIC-based barrier over Myrinet/GM. In that study, the NIC takes an active role in detecting arrived barrier messages and triggering the next barrier messages. This greatly reduces round-trip PCI bus traffic and host CPU involvement in a barrier operation, thereby improving the barrier latency. However, much of the communication processing for barrier messages is still implemented on top of the NIC's point-to-point communication processing. The benefits of NIC-based barrier have been exposed, but only to a certain extent. And the scheme has not been generalized to expose the benefits of NIC programmability over other networks, for example, Quadrics. So it remains an open challenge to gain more insights into the related communication processing and propose an efficient, and generally applicable scheme in order to provide maximum benefits to NIC-based barrier operations.

In this paper, we take on this challenge. We start with discussing the characteristics of NIC-based barrier op-

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erations. We then examine the communication processing tasks for point-to-point operations, including queuing, bookkeeping, packetizing and assembly, flow control and error control, etc. Many of these tasks are redundant for collective operations. We then propose a novel NIC-based collective protocol which performs queuing, bookkeeping, packetizing and error control tasks in a collective manner and eliminates the redundancy wherever possible. With barrier as an initial case study, we have demonstrated that much of the communication processing can be greatly simplified. Accordingly, the proposed scheme is implemented over Myrinet. Furthermore, a similar NIC-based barrier is implemented over Quadrics.

Our evaluation has shown that, over a Quadrics cluster of 8 nodes with ELan3 Network, the NIC-based barrier operation achieves a barrier latency of  $5.60\mu s$ . This result is a 2.48 factor of improvement over the Elanlib tree-based barrier operation. Over a Myrinet cluster of 8 nodes with LANai-XP NIC cards, a barrier latency of  $14.20\mu s$  over 8 nodes is achieved. This is a 2.64 factor of improvement over the host-based barrier algorithm. Our evaluation has also shown that, over a 16-node Myrinet cluster with LANai 9.1 cards, the NIC-based barrier operation achieves a barrier latency of  $25.72\mu s$ , a 3.38 factor of improvement compared to the host-based algorithm. Furthermore, our analytical model suggests that NIC-based barrier operations could achieve a latency of only  $22.13\mu s$  and  $38.94\mu s$ , respectively over a 1024-node Quadrics and Myrinet cluster.

The rest of the paper is structured as follows. In the next section, we explore different NIC-based barrier algorithms and describe the motivation for the NIC-based barrier with a separate collective protocol. Following that, we describe in detail the design issues of the barrier algorithm in the proposed NIC-based collective protocol. Then in Section 4 we give an overview of Quadrics/Elan and Myrinet/GM. An overview of the barrier algorithms considered for our implementation is provided in Section 5. In Sections 6 and 7, we describe our NIC-based barrier operations over Myrinet and Quadrics. The performance results are provided in Section 8. Finally, we conclude the paper in Section 9.

## 2. Motivation

In this section, we describe general ideas of previous research [3, 4] on NIC-based barrier operations over point-to-point communication. In addition, we explore different ideas to support collective communication and the motivation for NIC-based barrier operations with a separate collective protocol.

### 2.1. Previous Research on NIC-Based Barrier

Buntinas et. al. [3, 4] have studied the benefits of off-loading barrier operation to the Myrinet Control Program (MCP). With the previous NIC-based barrier scheme, the NIC takes an active role in performing the barrier operation. Host CPU is not involved in the intermediate steps of a barrier operation. The number of round-trip messages across the PCI bus is reduced. However, further investiga-

tion into this implementation reveals that it builds the NIC-based barrier operation simply on top of the point-to-point communication protocol running on the NIC. The left diagram in Fig. 1 shows how the barrier implementation fits into a user-level protocol (in this case, MCP). With this approach, much of the communication processing is redundant for the nature of barrier operations. It still remains to be examined how much redundant processing is done. Likewise, it is not analyzed how much benefits there are if one can eliminate the redundancy with a separate collective protocol.

### 2.2. The Point-to-Point Communication Protocol at the NIC

An overview to the communication processing performed by the Myrinet Control Program is presented in Section 4.2. In a NIC control program for a general user-level protocol, this processing can be classified into the following categories of tasks: request queuing, request bookkeeping, data packetization, data assembly, flow control and error control. These tasks are usually well-tuned for point-to-point communication. But to achieve high performance NIC-based collective operations, much of these tasks can be done in a collective manner. This can lead to simplified and reduced processing. Thus a separate communication protocol for the NIC-based collective operations is needed to maximize the benefits.

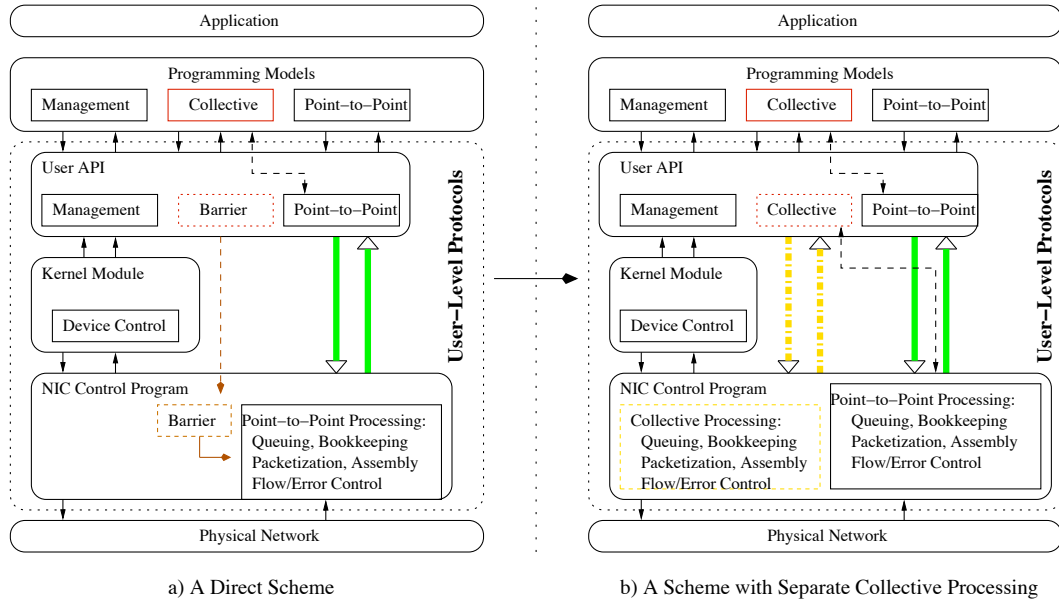
### 2.3. Where to Provide Support for Collective Communication?

The performance of the resulting collective operations are often limited by the underlying user-level protocols. If the user-level protocols only provide point-to-point communication semantics, the programming models have to lay their collective support on top of that. The resulting performance may not be ideal. The NIC-based collective operations can help expose the best performance from the underlying network to these developers. However, as shown in the left diagram of Fig. 1, the earlier NIC-based barrier implementation intercepts the requests for the barrier operations and directly delivers the barrier messages. No efforts have been put to examine how the communication processing tasks are undertaken by the NICs for these regular messages and how to reduce them for barrier operations. Thus this direct scheme of offloading the barrier operation does not achieve maximum benefits.

## 3. A Proposed Scheme to Support NIC-based Barrier Operations

In this section, we propose a novel scheme with a NIC-based collective protocol to eliminate the redundancy described in the last section. Then with barrier operations as the focus in this paper, we describe how the benefits of NIC-based barrier operations can be maximized with this scheme. The associated design issues are also discussed.

We propose a separate protocol at the NIC to perform the communication processing tasks related to collective operations. As shown in Fig. 1, a set of API's for collective opera-



**Fig. 1. Different Schemes to Support NIC-based Collective(Barrier) Operations**

tions can be provided at the user-level. Then the support for these collective operations can be implemented at the NIC. If there is any collective operation that cannot be supported efficiently by the NIC, its implementation can still be laid over point-to-point protocols. Basically, our scheme aims to provide a protocol that collectively performs the message passing tasks necessary for collective operations. For each collective operation, the critical step is to identify the tasks that can be more efficiently put into the collective protocol. In the case of a NIC-based barrier operation, we have identified the following tasks that need to be included in the NIC-based collective protocol.

#### Queuing

In a parallel system, a NIC must handle multiple communication requests to a peer NIC and also requests to multiple different peer NICs. Each request must go through multiple queues and be scheduled before the message can be transmitted. Thus for a barrier, the arrived message may not immediately lead to the transmission of the next message until the corresponding request gets its turn in the relevant queues. This imposes unnecessary delays into the barrier operations. If we can provide a separate queue for a particular process group, its barrier messages can skip other queues and get transmitted in a much faster manner.

#### Packetization and Assembly

The sender NIC must packetize the large messages and allocate a send buffer for each of the packet. For that the NIC has to wait for a send buffer to become available and fill up the packet with data before the messaging takes place. Since all the information a barrier message needs to carry along is an integer, if one can utilize a dedicated send buffer for the barrier messages, all these unnecessary waiting for a send buffer can also

be eliminated. At the receiver side, the received barrier message also does not need to go through the queues for data assembly, etc.

#### Bookkeeping

For each outstanding messaging request, the NIC must perform bookkeeping functions to keep track of its status of every packet transmitted on its behalf. This is rather inefficient for a barrier operation, since there is no data transmission involved. One can just provide a bit vector to record whether all the messages for a barrier operation are completed or not.

#### Flow/Error Control

Depending on the reliability feature of the underlying network, the NIC control program may also need to provide flow control and/or error control functions to ensure reliability. The error control for point-to-point messages is usually implemented with a form of timeout/retransmission. Acknowledgments are returned from the receivers to the senders. The NIC-based barrier also provides opportunities to have an efficient and simplified error control. For example, we can eliminate all the acknowledgments and provide reliability with a receiver-driven retransmission approach. When a barrier operation fails to complete due to the missing of some barrier messages, NACKs can be sent to the corresponding senders. Thus this reduces the number of actual barrier messages by half and can speed up the barrier operation.

## 4. Overview of Quadrics and Myrinet

In this section, we describe some background information on two interconnects that provide programmable NIC processors, Quadrics and Myrinet. Quadrics provides

hardware-level reliable message passing, while Myrinet does not. The message passing reliability is left to the communication protocol. Designing an efficient reliability scheme is then critical to the performance of the communication protocol over such a network.

#### 4.1. Quadrics and Elanlib

Quadrics network (QsNet) [15] provides low-latency, high-bandwidth communication with its two building blocks: a programmable Elan network interface card and the Elite switch, which are interconnected in a fat-tree topology. QsNet-II [1] has been released recently, but in the scope of this paper, a Quadrics interconnect with Elan3 network interface cards. We are planning to extend similar studies to QsNet-II once such a system becomes available to us.

**QsNet Programming Library** – QsNet provides the Elan and Elan3 libraries as the interface for its Elan3 network [17]. At the Elan3 level, a process in a parallel job is allocated a virtual process id (VPID). Interprocess communication is supported by an efficient model: remote direct memory access (RDMA). Elan3lib also provides a very useful *chained event* mechanism, which allows one RDMA descriptor to be triggered upon the completion of another RDMA descriptor. A higher-level programming library, Elanlib, extends Elan3lib with point-to-point, tagged message passing primitives (called Tagged Message Ports or Tports) and support for collective operations.

**Barrier in Elanlib** – Elanlib provides two barrier functions, `elan_gsync()` and `elan_hgsync()`. The latter takes advantages of the hardware broadcast primitive and provides a very efficient and scalable barrier operation [16]. However, it requires that the calling processes are well synchronized in their stages of computation [16]. Otherwise, it falls back on the `elan_gsync()` to complete the barrier with a tree-based gather-broadcast algorithm.

#### 4.2. Myrinet and GM

Myrinet is a high-speed interconnect technology using wormhole-routed crossbar switches to connect all the NICs. GM is a user-level communication protocol that runs over the Myrinet [2] and provides a reliable ordered delivery of packets with low latency and high bandwidth. The basic send/receive operation works as follows.

**Sending a Message** – To send a message, a user application generates a send descriptor, referred to as a *send event* in GM, to the NIC. The NIC translates the event to a *send token* (a form of send descriptor that NIC uses), and appends it to the send queue for the desired destination. With outstanding send tokens to multiple destinations, the NIC processes the tokens to different destinations in a round-robin manner. To send a message for a token, the NIC also has to wait for the availability of a send packet, i.e., the send buffer to accommodate the data. Then the data is DMAed from the host buffer into the *send packet* and injected into the network. The NIC keeps a *send record* of the sequence number and the time for each packet it has sent. If the acknowledgment is not received within the timeout period, the

sender will retransmit the packet. When all the send records are acknowledged, the NIC will pass the send token back to the host.

**Receiving a Message** – To receive a message, the host provides some registered memory as the receive buffer by preposting a receive descriptor. A posted receive descriptor is translated into a *receive token* by the NIC. When the NIC receives a packet, it checks the sequence number. An unexpected packet is dropped immediately. For an expected packet, the NIC locates a receive token, DMA's the packet data into the host memory, and then acknowledges the sender. When all the packets for a message have been received, the NIC will also generate a *receive event* to the host process for it to detect the arrived message.

### 5. Overview of Barrier Algorithms

In this section, we give a brief introduction to general barrier algorithms. Note that we focus on the algorithms for the barrier operation on top of point-to-point communication. Barrier operations on top of hardware broadcast have been studied in [16] and [9].

#### 5.1. General Algorithms

Without using hardware barrier/broadcast primitives, a barrier operation typically requires the exchange of multiple point-to-point messages between processes. Typically it is implemented by one of the following three algorithms: gather-broadcast [11], pairwise-exchange [8] and dissemination [9].

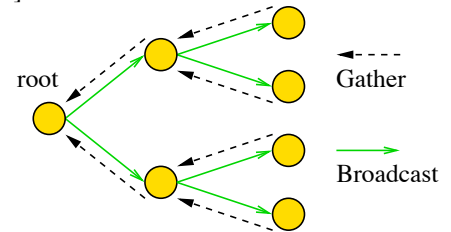


Fig. 2. Gather-Broadcast

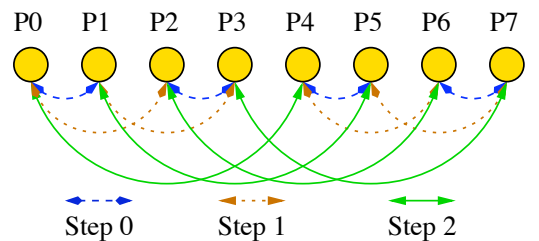
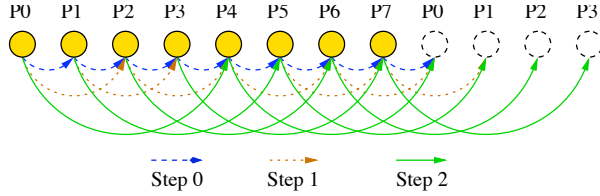


Fig. 3. Pairwise-Exchange

**Gather-Broadcast** – As shown in Fig. 2, processes involved in a barrier form a tree-based topology. All the barrier messages are propagated up the tree and combined to the root, which in turn broadcasts a message down the tree to have other processes exit the barrier. For a group of  $N$  participating nodes, this algorithm takes  $(2 \log_d N)$  steps, where  $d$  is the degree of the tree.

**Pairwise-Exchange** – This is a recursive doubling algorithm used in the popular MPICH [8] distribution. As shown in Fig. 3, at step  $m$ , process  $i$  and  $j$ , where  $j = i \wedge 2^m$ ,



**Fig. 4. Dissemination**

are paired up and exchange messages. For a group of  $N$  participating nodes, this algorithm takes  $\log_2 N$  steps, when  $N$  is a power of two. If  $N$  is not a power of two, two additional steps needs to be performed. Let  $M$  be the largest power of 2 and less than  $N$ . At the very beginning, process  $i$  sends a message to processes  $j$ , where  $i \geq 2^m$  and  $j = i - 2^m$ . Then the low ranked  $M$  processes perform pairwise exchange for the barrier. At the very end, process  $j$  notifies process  $i$  to exit the barrier. This algorithm takes  $(\lceil \log_2 N \rceil + 2)$  steps for non-power of two number of nodes.

**Dissemination** – This dissemination algorithm is also described in [12]. As shown in Fig. 4, in step  $m$ , process  $i$  sends a barrier message to process  $j$ , where  $j = (i + 2^m) \bmod N$ . Essentially, barrier messages are disseminated around processes so that each process is able to collect the barrier information from its left  $2^{m+1}$  processes by step  $m$ . This algorithm takes  $\lceil \log_2 N \rceil$  steps, irrespective of whether  $N$  is a power of two or not.

## 5.2. Choosing the Right Algorithm

From the earlier description, it is clear that the gather-broadcast algorithm requires more steps for a barrier operation. Buntinas et. al. [3, 4] also have found that the pairwise-exchange algorithm generally performs better than the gather-broadcast algorithm. Thus for the proposed NIC-based barrier in this paper, we have chosen to implement and compare the pairwise-exchange and dissemination algorithms.

## 6. Implementation of the Proposed NIC-Based Barrier over Myrinet

In this section, we describe the NIC-based barrier over Myrinet/GM. We have explored many of the challenging issues in our earlier work with GM-1.2.3 [3, 4]. As having discussed in Section 3, we choose to create a separated protocol to process the barrier messages. We believe that reimplementing the previous work over GM-2.0.3 would lead to the same amount of relative improvement since the NIC-based barrier is mainly dependent on the number of messages and processing steps to be performed. Solutions from the earlier work for some of the challenges have been incorporated into this new protocol. Other challenging issues related to the new barrier protocol are described in this section.

### 6.1. Queuing the Barrier Operations

As described in Section 4.2, MCP processes the send tokens to different destinations in a round robin fashion. Send tokens to the same destination are processed in a FIFO manner. So the send tokens for barrier operations must go

through multiple queues before their messages can be transmitted. This is enforced to the initial barrier message (e.g., in Step 1 of the pairwise-exchange algorithm) and also the barrier message that needs to be transmitted immediately when an earlier barrier message arrives. It is rather inefficient to have the NIC-based barrier operations put up with so much waiting. We created a separate queue for each group of processes, and enqueued only one send token for every barrier operation. Then the barrier messages do not have to go through the queues for multiple destinations. With this approach, the send token for the current barrier operation is always located at the front of its queue. Both the initial barrier message and the ones that need to be triggered later no longer need to go through the queues for the corresponding destinations.

### 6.2. Packetizing the Barrier Messages

Within the Myrinet Control Program, to send any message, the sender NIC must wait for a send packet to become available and fill up the packet with data. So to complete a barrier operation, it is inevitable for the sender NIC to go through multiple rounds of allocating, filling up and releasing the send packets. Since all the information a barrier message needs to carry along is an integer, it is much more efficient if a static send packet can be utilized to transmit this integer and avoid going through multiple rounds of claiming/releasing the send packets.

This static send packet can be very small since it only carries an integer. One can allocate an additional short send packet for each group of processes. However, there is a static send packet to each peer NIC in MCP, which is used for fast transmission of ACKs. We pad this static packet with an extra integer and utilize it in our implementation. With this approach, all the packetizing (including packets claiming and releasing) needed for transmitting regular messages is avoided for the barrier messages.

### 6.3. Bookkeeping and Error Control for Barrier Messages

The Myrinet Control Program provides bookkeeping and error control for each packet that has been transmitted. This is to ensure the reliable delivery of packets. One acknowledgment must be returned by the receiver in order for the sender to release the bookkeeping entries, i.e., a send record in MCP. When a sender NIC fails to receive the ACK within a timeout period specified in the send record, it retransmits the packet. Besides creating multiple send records and keeping track of them, this also generates twice as many packets as the number of barrier messages. It is desirable to design a better way to provide the bookkeeping and error control for the barrier operations based on its collective nature.

For the bookkeeping purpose, we create only a send record for a barrier operation. Within the send record, a bit vector is provided to keep track of the list of barrier messages. When the barrier operation starts, a time-stamp is also created along with the send record. In addition, an approach called receiver-driven retransmission is provided to

ensure reliable delivery of barrier messages. The receiver NICs of the barrier messages no longer need to return acknowledgments to the sender NICs. If any of the expected barrier messages is not received within the timeout period, a NACK will be generated from the receiver NIC to the corresponding sender NIC. The sender NIC will then retransmit the barrier message. Taken together, these enhancements ensure the reliable delivery with the minimal possible overhead and also reduce the number of total packets by half compared to the reliability scheme for the regular messages. Thus, it promises a more efficient solution for barrier operation.

## 7. Implementation of the Proposed NIC-Based Barrier over Quadrics

In this section, we describe the NIC-based barrier over Quadrics. Quadrics provides salient mechanisms to program the NIC to support collective operations [14], e.g., threads running in the NIC or chained RDMA descriptors. Thus it is rather convenient to implement NIC-based barrier operation over Quadrics.

Since a barrier operation typically involves no data transfer, all messages communicated between processes just serve as a form of notification, indicating that the corresponding processes have reached the barrier. Over Quadrics/Elan, RDMA operation with no data transfer can be utilized to fire a remote event, which serves as a kind of notification to the remote process. Although Elan threads can be created and executed by the thread processor to process the events and chain RDMA operations together, an extra thread does increase the processing load to the Elan NIC. With either pairwise-exchange or dissemination algorithm, all that needed is to chain the multiple RDMA operations together to support a NIC-based barrier.

We have chosen not to set up an additional thread to support NIC-based barrier, and instead, set up a list of chained RDMA descriptors at the NIC from user-level. The RDMA operations are triggered only upon the arrival of a remote event except the very first RDMA operation, which the host process triggers to initiate a barrier operation. The completion of the very last RDMA operation will trigger a local event to the host process and signify the completion of the barrier.

## 8. Performance Evaluation

In this section, we describe the performance evaluation of our implementation. The experiments were conducted on two clusters. One is a 16-node cluster of quad-SMP 700 MHz Pentium-III, each equipped with 1GB DRAM and 66MHz/64bit PCI bus. This cluster is connected with both a Myrinet 2000 network and a QsNet/Elan3 network (with only 8 nodes). The Myrinet NICs have 133MHz LANai 9.1 processors and 2MB SRAM. The QsNet network consists of a dimension two, quaternary fat tree switch, Elite-16, and Elan3 QM-400 cards. The other system is a cluster of 8-node SuperMicro SUPER P4DL6, each with dual Intel Xeon 2.4GHz processors, 512MB DRAM, PCI-X

133MHz/64-bit bus. This cluster is only connected with Myrinet 2000 network and NICs with 225MHz LANai-XP processors and 2MB SRAM. Our NIC-based implementation over Myrinet is based on GM-2.0.3. The NIC-based implementation over Quadrics is based on 5.2.7 quadrics release and Elanlib-1.4.3-2.

### 8.1. NIC-Based Barrier over Myrinet

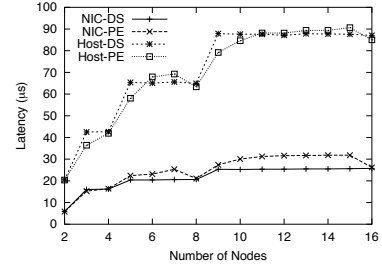


Fig. 5. Performance Evaluation of NIC-based and Host-Based Barrier Operations with Myrinet LANai-9.1 Cards on a 16-node 700MHz cluster

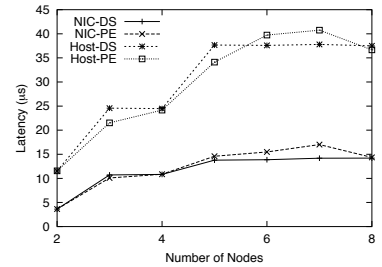


Fig. 6. Performance Evaluation of NIC-based and Host-Based Barrier Operations with Myrinet LANai-XP Cards on an 8-node 2.4GHz cluster

We tested the latency of our NIC-based barrier operations and compared it to the host-based barrier operations. Our tests were performed by having the processes execute consecutive barrier operations. To avoid any possible impact from the network topology and the allocation of nodes, our tests were performed with random permutation of the nodes. We observed only negligible variations in the performance results. The first 100 iterations were used to warm up the nodes. Then the average for the next 10,000 iterations was taken as the latency. We compared the performance for both the pairwise-exchange and dissemination algorithms.

Fig. 5 shows the barrier latencies of NIC-based and host-based barriers for both algorithms over the 16-node quad-700MHz cluster with LANai 9.1 cards. With either pairwise-exchange (PE) or dissemination (DS) algorithm, the NIC-based barrier operations reduce the barrier latency, compared to the host-based barrier operations. The pairwise-exchange algorithm tends to have a larger latency over non-power of two number of nodes for the extra step it takes. Over this 16-node cluster, a barrier latency of  $25.72\mu s$  is achieved with both algorithms. This is a 3.38 factor of improvement over host-based barrier operations. Using the direct NIC-based barrier scheme on the same clus-



ter, our earlier implementation [3, 4], achieved 1.86 factor of improvement using LANai 7.2 cards. The earlier work was done over GM-1.2.3 and not maintained as new versions of GM are released. We believe that the same amount of relative improvement (1.86) would have been achieved if the previous work was reimplemented over GM-2.0.3 since the NIC-base barrier is mainly dependent on the number of messages and processing steps to be performed. Although, direct comparisons are not available, the difference in the improvement factors over the common denominator (host-based barrier operations) suggests that our new scheme provides a large amount of relative benefits.

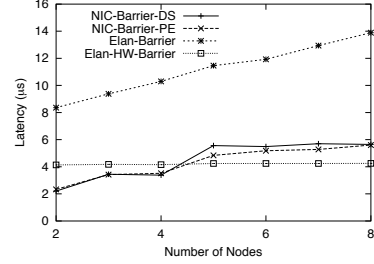
Fig. 6 shows the barrier latencies of NIC-based and host-based barriers for both algorithms over the eight-node 2.4GHz Xeon cluster with LANai-XP cards. Similarly, the NIC-based barrier operation reduces the barrier latency compared to the host-based barrier operation. Over this eight node cluster, a barrier latency of  $14.20\mu s$  is achieved with both algorithms. This is a 2.64 factor of improvement over the host-based implementation. The reason that the factor of improvement becomes smaller on this cluster is because this cluster has a much larger ratio of host CPU speed to NIC CPU speed and also a faster PCI-X bus. Thus the benefits from the reduced host involvement and I/O bus traffic are smaller.

## 8.2. NIC-Based Barrier over Quadrics

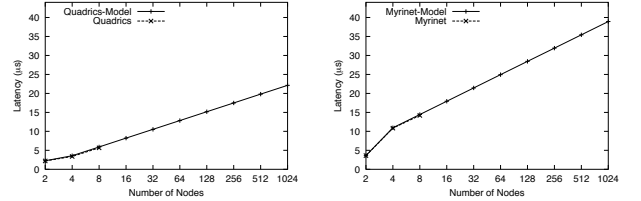
Over an eight-node Quadrics/Elan3 cluster, we tested the latency of our NIC-based barrier operations and compared them to the `elan_hgsync()` function provided in Elanlib. The performance of `elan_hgsync()` is tested with hardware broadcast either enabled or disabled. Our tests were performed by having the processes perform consecutive barrier operations. The first 100 iterations were used to warm up the nodes. Then the average for the next 10,000 iterations was taken as the latency. The performance for both the pairwise-exchange and dissemination algorithms are compared to the `elan_hgsync()` operation.

Fig. 7 shows the barrier latencies of NIC-based barrier operations (shown as NIC-Barrier-DS and NIC-Barrier-PE in the figure). The hardware barrier, `elan_hgsync()` achieves a barrier latency of  $4.20\mu s$ . For a small number of nodes, the hardware barrier performs worse than the NIC-based barrier operation. This is because the hardware barrier is implemented with an atomic test-and-set operation down the NIC, which requires a higher number of network transactions. For a large number of nodes, the hardware barrier performs better but it requires that the involving processes be well synchronized. This is hardly the case for parallel programs over large size clusters. Compared to tree-based barrier operation `elan_gsync()`, our NIC-based barrier operation has a much reduced barrier latency. Note here that the hardware broadcast primitive is disabled for a purely tree-based barrier with `elan_gsync()`. With non-power of two number of nodes, the pairwise-exchange algorithm performs better than the dissemination algorithm over Quadrics. This is because Quadrics Elan cards is very efficient in coping with the hot-spot RDMA operations [10], which reduces

the effects of the steps for registering and releasing non-power two processes in a barrier operation. Over this eight node cluster, a barrier latency of  $5.60\mu s$  is achieved with both algorithms. This is a 2.48 factor of improvement over `elan_hgsync()` when the hardware broadcast is not available.



**Fig. 7. Performance Comparisons of Barrier implementations over Quadrics/Elan3 on an 8-node 700MHz cluster**



**(a) Over 700MHz Quadrics-Elan3 Cluster (b) Over 2.4MHz Myrinet-LANai-XP Cluster**

**Fig. 8. Modeling of the Barrier Scalability**

## 8.3. Scalability of the Proposed NIC-Based Barrier

As the size of parallel system reaches thousands, it is important for parallel applications to be able to run over larger size systems and achieve corresponding parallel speedup. This requires that the underlying programming models provide scalable communication, in particular, scalable collective operations. Thus it is important to find out how the NIC-based barrier operations can scale over larger size systems.

Since the NIC-based barrier operations with the dissemination algorithm exhibits a consistent behavior as the system size increases, we choose its performance pattern to model the scalability over different size systems. We formulate the latency for NIC-based barrier with the following equation.

$$T_{barrier} = T_{init} + (\lceil \log_2 N \rceil - 1) * T_{trig} + T_{adj}$$

In this equation,  $T_{init}$  is the average NIC-based barrier latency over two nodes, where each NIC only sends an initial barrier message for the entire barrier operation;  $T_{trig}$  is the average time for every other message the NIC needs to trigger when having received an earlier message; and  $T_{adj}$  is provided as the adjustment factor. The adjustment factor is needed to reflect the effects from other aspects of the NIC-based barrier, e.g., reduced PCI bus traffic and the overhead of bookkeeping. Through mathematical analysis, we have derived Myrinet NIC-based barrier latency as  $T_{barrier} = 3.60 + (\lceil \log_2 N \rceil - 1) * 3.50 + 3.84$  for 2.4GHz Xeon clus-

ters with LANai-XP cards, and Quadrics NIC-based barrier latency as  $T_{barrier} = 2.25 + (\lceil \log_2 N \rceil - 1) * 2.32 - 1.00$  for quad-700MHz clusters with Elan3 cards. As shown in Fig. 8, the NIC-based barrier operations could achieve a barrier latency of  $22.13\mu s$  and  $38.94\mu s$  over a 1024-node Quadrics and Myrinet cluster of the same kinds, respectively. In addition, it indicates that the NIC-based barrier has potential for developing high performance and scalable communication subsystems for next generation clusters.

## 9. Conclusions and Future Work

We have characterized general concepts and the benefits of the NIC-based barrier algorithms on top of point-to-point communication. We have then examined the communication processing for point-to-point operations, and pinpointed the relevant processing we can reduce for collective operations. Accordingly we have proposed a general scheme for an efficient NIC-based barrier operation. The proposed scheme has been implemented over both Quadrics and Myrinet.

Our evaluation has shown that, over a Quadrics cluster of 8 nodes with ELan3 Network, the NIC-based barrier operation achieves a barrier latency of only  $5.60\mu s$ . This result is a 2.48 factor of improvement over the Elanlib barrier operation when Quadrics hardware-based broadcast is not available. In addition, our evaluation has also shown that, over a 16-node Myrinet cluster with LANai 9.1 cards, the NIC-based barrier operation achieves a barrier latency of  $25.72\mu s$ , which is a 3.38 factor of improvement compared to the host-based algorithm. Furthermore, our analytical model suggests that NIC-based barrier operations could achieve a latency of  $22.13\mu s$  and  $38.94\mu s$ , respectively over a 1024-node Quadrics and Myrinet cluster.

As QsNet-II [1] and newer Myrinet interface cards becomes available to us, we are planning to investigate how this NIC-based barrier algorithm can accommodate and benefit from novel interconnect features. In future, we also intend to study the benefits of this NIC-based barrier for different parallel programming models and applications built on top of them. Specifically, we plan to incorporate this barrier algorithm into LA-MPI [7] to provide a more efficient barrier operation. In addition, we intend to incorporate this NIC-based barrier, along with the NIC-based broadcast [18] into a resource management framework (e.g., STORM [6]) to investigate their benefits in increasing the resource utilization and the efficiency of resource management. Furthermore, we intend to investigate whether other collective communication operations, such as Allgather or Alltoall could benefit from similar NIC-level implementations.

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